



Agenda Item 7a

Meeting	Police and Crime Panel
Date	4 th June 2020
Report Title	PCC Risk Register
Presented by:	Angus Macpherson, Police and Crime Commissioner
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1.0 Purpose of Report

- 1.1 To update the Police and Crime Panel on the PCC Risk Register.

2.0 Introduction and Background Information

- 2.1 The risk register is aligned to the lifespan of the Police and Crime Plan (2017-2021) and has been reviewed and updated as at 6th May 2020.
- 2.2 All risks are split into two categories – they are either inherent risks and will remain on the register regardless of score, or topical risks which will be removed when they have a score of 10 or under. This will assist in ensuring focus remains on current risks and what additional mitigations or plans are needed to reduce the score and thus removed the risk from the register.
- 2.3 The register is a dynamic document and is intended to capture live management of risk and mitigation, rather than being a record of all possible risks.
- 2.4 The register is reviewed monthly on an informal basis by the Deputy Chief Executive and formally by the Commissioner’s Monitoring Board on a quarterly basis, prior to meetings of the Joint Independent Audit Committee and the Police and Crime Panel. It is now also considered at OPCC Executive Leadership Team meetings on a monthly basis. The Commissioning and Policy Officer has responsibility for the day-to-day management of the register.
- 2.5 Owners for each risk are identified and they are responsible for providing updates on mitigation and score.
- 2.6 The risk appetite remains at 30.
- 2.7 The PCC Risk Register is attached at Appendix A.
- 2.8 Attached at Appendix B is a glossary setting out the meaning of the acronyms used and the scoring brackets for identifying risks as high, moderate, acceptable or minor.

3.0 Covid-19

3.1 The risk register has been reviewed in light of Covid-19 and the impact this is likely to have on existing identified risks. It is also identified as a new risk and the impact on policing and criminal justice.

4.0 Key Risks to Consider – Inherent

4.1 The register identifies eleven inherent risks; seven are considered as either minor or acceptable, and four are considered moderate.

4.2 Details on the four risks considered moderate are:

- *Risk 16: Partners make decisions that impact upon the PCC and Police without discussion or involvement in decision making process*
Local services are under immense pressure as a result of Covid-19 and this is having a knock-on effect on the OPCC and the Force. Resilience cells have been established by both Local Authorities and the OPCC and Force are represented at these. The resilience cells are working well and there is good and effective partnership working taking place. The scoring is maintained at 24 but likelihood has been increased (from 3 to 4) to reflect the pressure being experienced by local services and control reduced (from 4 to 3) due to the good partnership working that is taking place.
- *Risk 17: PCC estate fails to enable effective and efficient policing*
Covid-19 has created a lot of uncertainty around the timelines and estimated costs that the estate strategy was based on. Covid-19 will have an impact on the successful delivery of this strategy and current estimates are this will be a 3-9 month delay. However, there are also some positives to be taken from the current situation such as the cultural benefits of remote working. These will be embraced by the Police and Crime Commissioner and, where appropriate, fed into his estates strategy. As a result of this the scoring for likelihood and control has been increased from 2 to 3, giving a new overall score of 18.
- *Risk 18: ICT services are not resilient and transformational to support effective and efficient policing*
Members will be aware of the decision by both Wiltshire Council and Wiltshire Police to return to individual provision of ICT services. The Chief Finance Officer and senior council officials are overseeing the return of this function and a Head of ICT for Wiltshire Police has been in place since November 2019. Recruitment for ICT posts has been taking place since January 2020 and has continued during Covid-19. It is considered that good progress is being made and, as a result of this, the likelihood has been reduced from 4 to 3, reducing the overall score to 24.
- *Risk 19: Police collaborative arrangements do not deliver anticipated benefits due to weak governance and accountability and / or the impacts outweigh business benefits*
Scoring is maintained at 18 and the risk continues to be monitored, through the Tri-Force and regional collaborations operations and strategic boards.

5.0 Key Risk to Consider – Topical

5.1 The register identifies four topical risks (three existing risks and one new risk). Of the existing risks, two are considered moderate. Details on these risks are provided in Paragraph 5.3 below.

5.2 Details on the new topical risk can be found at Paragraph 6.1 below.

5.3 Details on the two existing risks considered moderate are:

- *Risk T1: Review of funding formula does not provide additional resources or reduces resources to Wiltshire*
It was anticipated that the Review would take place in Autumn 2020 but this is now likely to be delayed as a result of Covid-19. The score is maintained at 24.
- *Risk T3: Delayed implementation of new communication system as a result of national change-over from Airwave Tetra to new 4G based ESN*
The scoring of this risk is maintained at 24 as new national guidance and a full business case is still awaited.

6.0 New Risk

6.1 The following risk has been identified and considered a major risk. Further information is provided below:

- *Risk T12: Impact of Covid-19 on policing and criminal justice*
This risk was identified as a new risk in March and has been regularly reviewed since that time. Contingency plans have been enacted and working from home arrangements are working well. Staff sickness for both the OPCC and the Force is being monitored closely and on a daily basis. Gold Group meetings are taking place although these have now been stood down to three times a week. OPCC has regular engagement with partners and service providers to understand the impact of Covid-19 on their services. The OPCC is also represented at the Local Authority resilience cell meetings. Revised governance structures are in place and the detail of these is covered in a separate report.

7.0 Future Reviews of Risk Register

7.1 This report and version of the Risk Register will be presented at the Police and Crime Panel on 5th June and the Joint Independent Audit Committee meeting on 6th June 2020.

8.0 Staffing Implications

8.1 Any staffing implications are set out in the associated risk(s).

9.0 Financial Implications

9.1 Any financial implications are set out in the associated risk(s).

10.0 Legal Implications

10.1 Any legal implications are set out in the associated risk(s).

11.0 Sustainability

11.1 Any sustainability issues are set out in the associated risk(s).

12.0 Diversity Issues

12.1 Equality and diversity issues are reflected in the respective elements of the risk register.

13.0 Contribution to the Police and Crime Plan 2017-2021

13.1 The risk register is aligned to the Police and Crime Plan and identifies risks associated with not delivering the Plan and the priorities set out within it.

14.0 Recommendations

14.1 The Police and Crime Panel is invited to note the content of the report and the risk register.

Appendices:

Appendix A – PCC Risk Register

Appendix B – Glossary

ACRONYM	MEANING
ACCs	Assistant Chief Constables
APAC ² E	Association of Police and Crime Commissioners Chief Executives
APCC	Association of Police and Crime Commissioners
BUSS	Best Use of Stop and Search
CC	Chief Constable
CFO	Chief Finance Officer
CJB	Criminal Justice Board
CJS	Criminal Justice System
CMB	Commissioner's Monitoring Board
CPS	Crown Prosecution Service
CPT	Community Policing Team
CSPs	Community Safety Partnerships
D&C	Devon and Cornwall
DA	Domestic Abuse
DCC	Deputy Chief Constable
ESN	Emergency Services Network
FME	Forensic Medical Examiner
FMS	Force Management Statement
GCHQ	Government Communications Headquarters
GDPR	General Data Protection Regulations
HMICFRS	Her Majesty's Inspectorate of Constabulary and Fire & Rescue Service
HO	Home Office
HR	Human Resources
ICT	Information Communication Technology
JIAC	Joint Independent Audit Committee
LA / LAs	Local Authority / Local Authority's
MPs	Members of Parliament
MoJ	Ministry of Justice
MTFS	Medium Term Financial Strategy
NAO	National Audit Office
NPCC	National Police Chiefs Council
Op Fairline	First Salisbury incident
OP Fortis	Second Salisbury incident
OPCC	Office of Police and Crime Commissioner
P&C Plan	Police and Crime Plan
PACCTS	Police and Crime Commissioners Treasurer Society
Panel	Police and Crime Panel
PCC	Police and Crime Commissioner
PCP	Police and Crime Panel
PCSOs	Police Community Support Officers
PEEL	Police Effectiveness, Efficiency and Legitimacy Programme
RJ	Restorative Justice
S&S	Stop and Search
SA	Sexual Abuse
SARC	Sexual Assault Referral Centre
SD&T	Service, Delivery and Transformation
ACRONYM	MEANING

SW	South West
TOIL	Time Off In Lieu
WC	Wiltshire Council
WCJB	Wiltshire Criminal Justice Board
YOTs	Youth Offending Teams

RISK KEY

risk score 30+ Major
risk score 18-29 Moderate
risk score 8-17 Acceptable
risk score 1-7 Minor